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28294  
PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

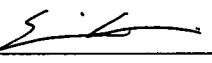
Total Number of Pages in This Submission

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2829
Examiner Name	A. Sarkar
Total Number of Pages in This Submission	Attorney Docket Number
	0756-2222

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures 1. 2. 3. 4. 5. 6.
<b>Remarks</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	4-26-05

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Type or printed name	Adele M Stamper		
Signature	Adel M Stamper	Date	4-26-05

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APR 28 2005

PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032  
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# FEE TRANSMITTAL FOR FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	A. Sarkar
Group Art Unit	2829
Attorney Docket No.	0756-2222

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-2280**

Deposit Account Name **Robinson Intellectual Property Law Office**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	300	2001 150 Utility filing fee	
1111	500	2111 250 Search fee	
1311	200	2311 275 Examination fee	
Over 100 Sheets/250 for each additional 50			

**SUBTOTAL (1) (\$)**

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-41**	= <b>  </b> X \$50 = <b>  </b>	
Independent Claims	-12**	= <b>  </b> X \$200 = <b>  </b>	
Multiple Dependent		= <b>  </b>	

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	50	2202 25 Claims in excess of 20
1201	200	2201 100 Independent claims in excess of 3
1203	360	2203 180 Multiple dependent claim, if not paid
1204	200	2204 100 ** Reissue independent claims over original patent
1205	50	2205 25 ** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath		
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet		
1053	130	1053 130 Non-English specification		
1812	2,520	1812 2,520 For filing a request for <i>ex parte</i> reexamination		
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action		
1251	120	2251 60 Extension for reply within first month		
1252	450	2252 225 Extension for reply within second month		
1253	1020	2253 510 Extension for reply within third month		
1254	1,590	2254 795 Extension for reply within fourth month		
1255	2,160	2255 1080 Extension for reply within fifth month		
1401	500	2401 250 Notice of Appeal		
1402	500	2402 250 Filing a brief in support of an appeal		
1403	1000	2403 500 Request for oral hearing		
1451	1,510	1451 1,510 Petition to institute a public use proceeding		
1452	500	2452 250- Petition to revive - unavoidable		
1453	1,500	2453 750 Petition to revive - unintentional		
1501	1,400	2501 700 Utility issue fee (or reissue)		
1502	800	2502 400 Design issue fee		
1503	1100	2503 550 Plant issue fee		
1462	400	1462 400 Petitions, Group I		
1463	200	1463 200 Petition, Group II		
1464	130	1464 130 Petitions, Group III		
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)		
1806	180	1806 180 Submission of Information Disclosure Stmt		\$180.00
8021	40	8021 40 Recording each patent assignment per property (times number of properties)		
1809	790	2809 395 Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	790	2810 395 For each additional invention to be examined (37 CFR § 1.29(b))		
1801	790	2801 395 Request for Continued Examination (RCE)		
1802	900	1802 900 Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 180.00)**

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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone (571) 434-6789
Signature	<i>Eric J. Robinson</i>			Date <b>4-26-05</b>



Attorney Docket No. 0756-2222

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shunpei YAMAZAKI et al.  
Serial No. 09/699,466  
Filed: October 31, 2000  
For: METHOD FOR FABRICATING A  
SEMICONDUCTOR DEVICE

) Group Art Unit: 2829  
Examiner: A. Sarkar  
) CERTIFICATE OF MAILING  
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INFORMATION DISCLOSURE STATEMENT

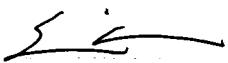
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

Please type a plus sign (+) inside this box → [+]

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**Complete if Known**

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2829
Examiner Name	A. Sarkar
Attorney Docket Number	0756-2222

Sheet

(use as many sheets as necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		JP	05-291220			11/05/1993		Abst.

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.